

## CATTLE AND CALVES SLAUGHTER AUDIT FORM

Date: \_\_\_\_\_ Time: \_\_\_\_\_

Plant: \_\_\_\_\_ Auditor: \_\_\_\_\_

Weather: \_\_\_\_\_ Line Speed: \_\_\_\_\_

Stunner Type: \_\_\_\_\_ Operator: \_\_\_\_\_

Plant Contact Name: \_\_\_\_\_ Phone: \_\_\_\_\_

Email: \_\_\_\_\_ Establishment No.: \_\_\_\_\_

### CORE CRITERIA 1: EFFECTIVE STUNNING — Conventional Only

Score 100 cattle in plants with line speeds greater than 100 cattle per hour. Fifty cattle should be audited in slower plants processing fewer than 100 head per hour. Ninety-five percent accuracy is required for a passing score. If audit is conducted in a religious slaughter facility, skip to Core Criteria 2.

It can be helpful to note observations about missed stuns using the following guide:

- X = stunned correctly
- G = stunning failed due to apparent lack of maintenance
- A = missed stun due to poor aim

Animal Number:

1	11	21	31	41	51	61	71	81	91
2	12	22	32	42	52	62	72	82	92
3	13	23	33	43	53	63	73	83	93
4	14	24	34	44	54	64	74	84	94
5	15	25	35	45	55	65	75	85	95
6	16	26	36	46	56	66	76	86	96
7	17	27	37	47	57	67	77	87	97
8	18	28	38	48	58	68	78	88	98
9	19	29	39	49	59	69	79	89	99
10	20	30	40	50	60	70	80	90	100

Stun Efficacy Percent \_\_\_\_\_

**Notes:**

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## CORE CRITERIA 2: BLEED RAIL INSENSIBILITY — Conventional and Religious

Any sensible animal on the bleed rail constitutes an automatic audit failure. It is CRITICAL that animals showing signs of a return to sensibility be restunned immediately. There is “zero tolerance” for beginning any procedures like skinning the head or leg removal on any animal that shows signs of a return to sensibility. However, it is important to complete the audit and note observations about insensibility using the following guide:

X = completely insensible; no signs of return to sensibility

E = eyes moved when touched

BL = blinking

RB = rhythmic breathing

VO = vocalization

RR = righting reflex/animal attempts to lift head

Note signs of sensibility observed by animal number:

1	11	21	31	41	51	61	71	81	91
2	12	22	32	42	52	62	72	82	92
3	13	23	33	43	53	63	73	83	93
4	14	24	34	44	54	64	74	84	94
5	15	25	35	45	55	65	75	85	95
6	16	26	36	46	56	66	76	86	96
7	17	27	37	47	57	67	77	87	97
8	18	28	38	48	58	68	78	88	98
9	19	29	39	49	59	69	79	89	99
10	20	30	40	50	60	70	80	90	100

Percent Insensible \_\_\_\_\_

**Notes:**

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### CORE CRITERIA 3: SLIPS AND FALLS — Conventional and Religious

**3A:** Count the number of cattle that slip or fall during unloading. In large plants where multiple vehicles are continuously unloaded, 100 cattle from three different vehicles are scored. For all species, an equal number of animals from each deck should be scored. Vehicles should be scored in the order of arrival at the unloading ramp. In small plants where vehicles are not continuously unloaded, a single vehicle should be scored. If no vehicle arrives, the scoresheet is marked “unloading not observed.”

X = no slipping or falling      F = fell      S = slipped

1	11	21	31	41	51	61	71	81	91
2	12	22	32	42	52	62	72	82	92
3	13	23	33	43	53	63	73	83	93
4	14	24	34	44	54	64	74	84	94
5	15	25	35	45	55	65	75	85	95
6	16	26	36	46	56	66	76	86	96
7	17	27	37	47	57	67	77	87	97
8	18	28	38	48	58	68	78	88	98
9	19	29	39	49	59	69	79	89	99
10	20	30	40	50	60	70	80	90	100

Percent falling \_\_\_\_\_ Percent slipping \_\_\_\_\_

Note where slipping/falling occurred:

**Notes:**

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**3B:** Count the number of cattle that 1) slip and 2) fall during handling in any of the following locations: crowd pen, single file chute, barns, alleys or stunning box. A slip is recorded when a knee or hock touches the floor. In cattle stun boxes and the single file chute, a slip should be recorded if the animal becomes agitated due to multiple short slips. A fall is recorded if the body touches the floor. One percent or fewer falls and three percent or fewer slips are required for a passing score.

X = no slipping or falling      F = fell      S = slipped

1	11	21	31	41	51	61	71	81	91
2	12	22	32	42	52	62	72	82	92
3	13	23	33	43	53	63	73	83	93
4	14	24	34	44	54	64	74	84	94
5	15	25	35	45	55	65	75	85	95
6	16	26	36	46	56	66	76	86	96
7	17	27	37	47	57	67	77	87	97
8	18	28	38	48	58	68	78	88	98
9	19	29	39	49	59	69	79	89	99
10	20	30	40	50	60	70	80	90	100

Percent falling \_\_\_\_\_ Percent slipping \_\_\_\_\_

Note where slipping/falling occurred:

**Notes:**

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## CORE CRITERIA 4: VOCALIZATION — Conventional and Religious

Monitor the number of cattle that vocalize (provoked by stress or agitation) in the crowd pen, lead-up chute stunning box or restrainer. Vocalizing animals in the crowd-pen and lead up chute are scored during active handling. Score an animal as a vocalizer if it makes any audible vocalization. Three percent or less of cattle should moo or bellow. In Kosher or Halal operations or any operation using a head holder, up to five percent vocalization is acceptable for a passing score. It is helpful to note the possible cause of vocalization using the codes below:

X = non-vocalizer	P = prod
S = stun	F = fell or slipped
U = unknown cause	R = restrainer
M = missed stuns	SE = sharp edges
UN = unprovoked	

1	11	21	31	41	51	61	71	81	91
2	12	22	32	42	52	62	72	82	92
3	13	23	33	43	53	63	73	83	93
4	14	24	34	44	54	64	74	84	94
5	15	25	35	45	55	65	75	85	95
6	16	26	36	46	56	66	76	86	96
7	17	27	37	47	57	67	77	87	97
8	18	28	38	48	58	68	78	88	98
9	19	29	39	49	59	69	79	89	99
10	20	30	40	50	60	70	80	90	100

Percent vocalizing: \_\_\_\_\_ Percent vocalizing: \_\_\_\_\_

**Notes:**

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## CORE CRITERIA 5: PROD USE — Conventional and Religious

Monitor the percentage of 100 cattle prodded with an electric prod at the restrainer entrance. Twenty-five percent or fewer cattle should be prodded for passing score. If multiple employees use prods, score 100 animals passing by each employee. Add the percentages together to determine final score. Note whether or not a prod was used for each animal and the apparent reason for prod use:

X = moved quietly without an electric prod  
P = electric prod used without apparent reason  
B = electric prodded in response to balking

1	11	21	31	41	51	61	71	81	91
2	12	22	32	42	52	62	72	82	92
3	13	23	33	43	53	63	73	83	93
4	14	24	34	44	54	64	74	84	94
5	15	25	35	45	55	65	75	85	95
6	16	26	36	46	56	66	76	86	96
7	17	27	37	47	57	67	77	87	97
8	18	28	38	48	58	68	78	88	98
9	19	29	39	49	59	69	79	89	99
10	20	30	40	50	60	70	80	90	100

Percent prodded \_\_\_\_\_

Percent balking \_\_\_\_\_

**Notes:**

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**CORE CRITERIA 6: WILLFUL ACTS OF ABUSE — Conventional and Religious**

Any willful act of abuse is grounds for automatic audit failure. Willful acts of abuse include but are not limited to: 1) dragging a conscious, non-ambulatory animal; 2) intentionally applying prods to sensitive parts of the animal like the eyes, ears, nose or rectum; 3) deliberate slamming of gates on livestock; 4) purposeful driving of livestock on top of one another; 5) hitting/beating an animal. Note any such acts observed.

Were any willful acts of abuse observed?

Yes \_\_\_\_\_ No \_\_\_\_\_

If yes, detail incident(s) below:

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**Notes:**

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**CORE CRITERIA 7: ACCESS TO WATER — Conventional and Religious**

Observe access to water. Do animals in all pens have access to clean drinking water?

Yes \_\_\_\_\_ No \_\_\_\_\_

**Notes:**

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## Final Scoring – Cattle and Calves Audit

<b>Core Criteria</b>	<b>Passing Score</b>	<b>Actual Score</b>
Core Criteria 1: Effective Stunning	95% or greater accuracy	_____
Core Criteria 2: Bleed Rail Insensibility	100% insensible	_____
Core Criteria 3: Slips and Falls		
3A: Truck Unload	1% or less falls	_____
	3% or less slips	_____
3B: In Plant	1% or less falls	_____
	3% or less slips	_____
Core Criteria 4: Vocalization	3% or less	_____
	5% or less with head-holder/ritual	_____
Core Criteria 5: Prod Use	25% or less prodded	_____
Core Criteria 6: Willful Acts of Abuse	No willful acts of abuse	_____
Core Criteria 7: Access to Water	Yes – water provided	_____
Plant passed all numerically scored criteria?	Yes _____	No _____

\_\_\_\_\_  
Auditor signature

\_\_\_\_\_  
Date

## SECONDARY AUDIT ITEMS

These items may be helpful in gathering general information about a facility. However, because they involve a high degree of subjectivity and because they are almost impossible to score objectively, they should not be used in determining whether a facility passes or fails an audit.

1. Does the facility have a documented training program for its employees or use an outside training program to teach the principles of good animal handling?  
Yes \_\_\_\_ No \_\_\_\_
2. Does the facility have a protocol that is written or widely understood for handling non-ambulatory animals?  
Yes \_\_\_\_ No \_\_\_\_
3. Are facility personnel trained in handling non-ambulatory animals?  
Yes \_\_\_\_ No \_\_\_\_
4. Do they inspect the facility weekly and document for repair any damage or sharp protrusions that may injure animals?  
Yes \_\_\_\_ No \_\_\_\_
5. Does the facility provide special training to stunner operators to ensure proper equipment use and stunning efficacy?  
Yes \_\_\_\_ No \_\_\_\_
6. Does the facility have a protocol for stunning equipment maintenance?  
Yes \_\_\_\_ No \_\_\_\_
7. Does the facility train its personnel and have a written procedure or protocol about how to handle a sensible animal on the bleed rail?  
Yes \_\_\_\_ No \_\_\_\_
8. Is non-slip flooring provided throughout the facility?  
Yes \_\_\_\_ No \_\_\_\_

9. Are non-electrical devices the primary tool used to move livestock?  
Yes \_\_\_\_ No \_\_\_\_
10. Do crowd pens generally appear to be less than 75 percent full?  
Yes \_\_\_\_ No \_\_\_\_
11. Are animals unloaded from trucks promptly (target is within one hour of delivery)?  
Yes \_\_\_\_ No \_\_\_\_
12. If mounting behaviors were observed, are animals that chronically mount removed from the pen?  
Yes \_\_\_\_ No \_\_\_\_ NA \_\_\_\_
13. Does the company perform internal audits at least weekly?  
Yes \_\_\_\_ No \_\_\_\_
14. Does the company have an emergency management plan for livestock on file?  
Yes \_\_\_\_ No \_\_\_\_

**Notes related to secondary audit items:**

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